Cultural Arts Office / City of Carlsbad Community Arts Grants Program Guidelines and Application for 2008 Grants

Important Dates

Grant Application Due: Monday, October 29, 2007

Grant Announcement: December 14, 2007
Earliest Project Start Date: January 1, 2008
Latest Project End Date: December 31, 2008

Deadline Policy

Late or incomplete applications will not be accepted. Electronic transmission of applications (fax or email) will not be accepted unless requested by City staff.

Delivery of Application

<u>By Hand</u>: Applications must be received at the Cultural Arts Office, 2955 Elmwood Avenue, (just north of the Cole Library) Carlsbad 92008, by 5:30 on Monday, October 29, 2007

<u>By Mail</u>: Applications sent by US mail or special delivery services must be postmarked by midnight, October 29, 2007.

US mail should be addressed to:
Carlsbad Cultural Arts Office
Community Arts Grants
1200 Carlsbad Village Drive
Carlsbad, CA 92008

Workshops

New applicants are strongly urged to attend a Community Arts Grant Application Workshop. Two workshops will be held; each will last approximately 90 minutes.

Workshop Dates: Tuesday, October 2, 6:00 pm -Gowland Room, Carlsbad City Library, 1775 Dove Lane, 92011 Wednesday, October 3, 6:00 pm-Community Room, Cole Library, 1250 Carlsbad Village Drive, 92008

Downloadable Copy: You may obtain a Word Document copy of the guidelines and application from

the City website at www.carlsbadca.gov/arts

Look under "Community Arts Grants"

For More Information: If you have questions, contact Colleen Finnegan, Community Arts Coordinator

at the Carlsbad Cultural Arts Office.

T: (760) 434-2900; Fax: (760) 730-0329; E: cfinn@ci.carlsbad.ca.us

Introduction

The Carlsbad Cultural Arts Office and the Carlsbad Arts Commission invite applications for the annual Community Arts Grants program. Community Arts Grants are designed to help Carlsbad arts organizations, artists, and community and educational groups achieve their artistic and administrative objectives, while simultaneously providing cultural and artistic opportunities for Carlsbad citizens. There are two categories of grants:

- (1) Organizations/Artist Partnerships
- (2) Arts Education

Proposals may involve visual, performing, literary or media arts. The overall goals of the Community Arts Grants Program are:

- To provide opportunities for the artistic and cultural development and enjoyment of our citizens.
- To support all arts disciplines and artists in every stage of their careers.
- To encourage the development of culturally diverse activities.
- To ensure that the arts reach all segments of the population.

Guidelines

What We Fund

Proposals eligible for funding under **Organizations/Artist Partnerships** include:

- Publicly accessible performances and exhibitions of the arts that take place within the City of Carlsbad.
- Community arts projects, such as workshops, lectures, etc, that provide arts opportunities to people who are not necessarily professional artists, and take place in Carlsbad.

Proposals eligible for funding under Arts Education include:

- In-class and before/after-school projects involving guest/practicing/professional artists and providing students with arts participation activities, including artist-in-residence activities, collaborative programs and events by student artists which are open to the public.
- Support for ongoing activities of school-based, student extracurricular organizations that the public may attend. Funded activities must take place within the City of Carlsbad.

Who We Fund

Community Arts Grants are available to not-for-profit, tax-exempt organizations, as determined either by the Internal Revenue Service or the California State Franchise Tax Board. Organizations that wish to submit proposals but do not have not-for-profit incorporation status may apply through a Fiscal Sponsor (an organization which has non-profit incorporation status). The Fiscal Sponsor will be responsible for receiving and dispersing awarded funds. The Fiscal Sponsor must be willing to perform this service at no cost to the applicant. An organization which acts as a Fiscal Sponsor for another organization will NOT be prevented from applying for a grant for its own programs.

Partnerships: All arts organizations headquartered outside the City of Carlsbad and all individual artists, whether or not they are Carlsbad residents, are only eligible to submit proposals in partnership with Carlsbad-based organizations or schools. Partnership proposals involving individual artists must be submitted by the partnering Carlsbad organization or school, which must provide all support documents required in its application category. Partnership proposals involving outside organizations may be submitted by the outside organization and must include a Letter of Participation signed by the chief executive or principal of the partnering Carlsbad organization or school. This letter should specifically identify the role of the Carlsbad partner in the proposed project.

Arts Education Grants are available to Carlsbad public and private schools, student organizations, and authorized parent support groups. Arts Education proposals in partnership with outside organizations must demonstrate significant involvement by the partnering Carlsbad school in the proposal's development. Grants are not given for activities that are part of the regular curriculum, such as ongoing art classes or for one-time assembly programs by outside partner organizations.

Elementary Schools may submit one application per school site.

Middle Schools may submit up to two applications per school site.

High Schools are not limited in the number of applications per school site.

What We Do Not Fund

- Scholarships, fellowships or tuition assistance
- Direct grants to individuals
- Capital expenditures above \$500 (See definition below)
- Costs incurred before the start of the funded project (earliest start date is January 1, 2008)
- Applications to reduce existing deficits
- Arts activities outside the City of Carlsbad
- Travel expenses or entry fees for Competitions
- Pageants, Fund-raising Events or Hospitality costs
- Publication of manuscripts or compositions not created as part of a grant-supported project
- Activities not open to the Carlsbad general public
- School programs traditionally part of the academic curriculum
- Projects that are primarily religious in nature

Capital Expenditures are: Purchases of real estate; facility or property construction, renovation or repair costs; purchase of equipment related to general operations – such as air conditioning, electrical or communication systems, vehicles, office equipment. Exceptions: purchases or repairs of arts-specific equipment, such as musical instruments, kilns, etc., with the capability of extended use over several years. Proposals requesting funds for such expenses should be discussed in advance with the Community Arts Coordinator.

Who We Do Not Fund

- Applications submitted by government agencies.
 (Exception: public K-12 schools under the Arts Education category.)
- Applications submitted by support groups for the City's own cultural facilities and programs.

Grant Amounts

\$25,000 is available for the 2008 Grant cycle. Of this total, up to 40% (\$10,000) may be allocated for Arts Education grants, to be determined by the Review Panel. Arts Education proposals are restricted to a maximum request of \$1,000. Grants to Organizations/Artist Partnerships typically range from \$500 to \$3,000, although there is no limit on the maximum request allowable.

Matching Requirements

Grants can only amount to 50% of the entire proposed project costs. All grant money awarded must be fully matched. The applicant must provide half or more of the total project cost in cash or a combination of cash and in-kind contributions from other sources, identified in the Project Budget. (In-kind contributions refer to facilities, supplies, equipment, and services whose monetary value can be determined and that are necessary to the project and otherwise must be purchased or rented by the applicant to conduct the project.) In cases of demonstrated special need, a high in-kind match may be acceptable. These cases must be discussed with the Community Arts Coordinator prior to submitting an application. Only 25% of the grant request may be used to purchase supplies related to the project.

Peer Panel Process

A peer panel consisting of artists, arts professionals from the Carlsbad area, and assigned Arts Commissioners will review and rate all grant applications, determine project worthiness, and recommend appropriate funding levels. All applications are individually evaluated with narrative comments, given numerical scores and collectively ranked. The process is designed to strengthen the evaluation process and ensure the integrity and success of the grants program.

The Carlsbad Arts Commission reviews peer panel recommendations and awards the grants.

Review Criteria

Proposals will be reviewed and rated on the basis of the following criteria:

Scope of Project: Proposal fulfills at least one Community Arts Grants goal and meets guidelines.

Artistic Merit: Project relates to applicant's mission; project objectives are clear; project demonstrates quality with regard to participating artists, type and level of activities, and opportunities for residents to participate.

Community Impact: Project establishes or strengthens consistent programming in Carlsbad by applicant organization; demonstrates participation by Carlsbad artists, students, members, volunteers or others; demonstrates history of Carlsbad audience attendance or other participation; addresses unmet needs or underserved audiences; serves significant number of Carlsbad residents in relation to proposed grant amount.

Fiscal Readiness: Project Budget demonstrates need, appropriate match, effective use of funds, organizational accountability and reasonable relationship to organization's annual budget.

Organizational Readiness: demonstrated ability to conduct project successfully; Board/volunteer support; qualified part-time or full time staff; appropriate financial record-keeping; diverse financial resources.

Notification, Contracts and Payments

- A notification letter of acceptance or denial will be sent to each applicant by December 14, 2007. This letter serves as the agreement between the Cultural Arts Office and the approved grantee.
- The Cultural Arts Office reserves the right to negotiate the location of grant-funded activities.
- The first payment of 75% is made after the Cultural Arts Office is notified by letter that the project has begun.
- The second and final payment of 25% is made upon receipt, review and acceptance of the applicant's Final Report Form.

Changes

Proposed legal amendments to approved projects and contractual agreements for grant funds must be submitted in writing to the Cultural Arts Office by the grantee for approval.

Any changes in purpose, personnel, location, date, activity or budget must receive Cultural Arts Office approval before grant funds may be used.

Financial Management

Grant recipients are required to maintain accounts, records and the evidence pertaining to costs incurred and revenues acquired under the respective grant program.

More specifically, grantees should be able to provide upon request:

- Accurate, current and complete disclosure of the financial accounting for the grant project.
- Records that identify adequately the sources and application of funds for grant-sponsored activities.
- Accounting records which can be supported by source documentation: invoices, receipts, bank statements, etc.

Public Acknowledgement

Grant recipients must credit the Cultural Arts Office for its contractual support of the project in printed and broadcast promotion, brochures, posters, programs, handbills and other promotional material. Grantees failing to provide the required credit may forfeit the remaining grant funds due in the current cycle or be denied application in the next grant cycle. The following acknowledgement must appear on all published materials concerning the funded project:

"This program is made possible in part by a Community Arts Grant from the Cultural Arts Office/City of Carlsbad."

In addition, grantees must keep the Cultural Arts Office informed of all program dates and times. Grantees are encouraged and expected:

- to extend individual invitations to the member of the Carlsbad City Council and Carlsbad Arts Commission. These invitations must be received by the members a minimum of fourteen days in advance of the event.
- to provide full and timely information to the Cultural Arts Office so grant-funded programs can be included in the Cultural Arts Office's quarterly newsletter, Carlsbad Arts News.
- to make every effort to provide photographs suitable for use in Cultural Arts Office publications of the activities and participants of funded projects.
- to extensively promote funded events and projects.

Monitoring and Site Visits

The Cultural Arts Office will monitor grant projects during the course of the grant cycle. Grant applicants may be contacted by the Cultural Arts Office staff and may receive a site visit. The reports of these site visits will be inserted into grant application files and provide a basis for evaluation of future requests.

Final Report

Grantees will be required to file a financial and narrative report within 30 days of the project completion, unless an extension has been mutually agreed upon between the grantee and the Cultural Arts Office. However, the Final Report must be filed by the end of the year the grant project took place. The Final Report must identify all income sources and amounts.

All Final Reports from a Grantee's previous year's funding must be submitted to the Cultural Arts Office before distribution of new funds awarded to the Grantee can occur.

Staff Assistance

Cultural Arts Office staff will be available to assist applicants at three grant workshops. (See page 1 of these guidelines for schedule and locations.) The applicant should read the guidelines thoroughly and if the applicant has further questions, contact the Community Arts Coordinator, Colleen Finnegan: Phone 760-434-2900; Email cfinn@ci.carlsbad.ca.us

Submission Instructions and Deadline

Year 2008 Community Arts Grants are awarded for projects that begin after January 1, 2008 and are completed by December 31, 2008.

Applications must be delivered by hand by 5:30 pm on October 29, 2007 OR postmarked by the US Post Office, UPS, Federal Express or similar postal service no later than 12 Midnight October 29, 2007. Applications that arrive late or are postmarked by internal postage meters will not be considered. See front page for more details and appropriate address information. **Only one application is allowed per organization, elementary school or individual artist in each annual grant cycle.**

How to Apply

Grant applications must be submitted in the designated application format. Application questions must be answered in the order given. All requested information must be provided. Applications must be complete, legible, accurate, and signed. Handwritten applications will not be accepted.

The application is included with these guidelines. Or the application may be obtained from the Cultural Arts Office Home Page on the City's website: www.carlsbadca.gov/arts under the category "Community Arts Grants."

- Applicants should provide the information on 8.5 x 11 (letter-size) paper.
- Applications must be done in 10-point type or larger. Margins should be at least .5 inch left and right and 1.5 inches top and bottom.
- Include the numbered item titles or questions and then the answers, following numeric order. Each numbered item should be answered using single-spaced lines; a double space should be inserted between numbered items.
- Applications are limited to four pages: pages 1 and 2 for all organization information and responses to narrative questions; pages 3 and 4 for the requested budget information about the proposed project.
- Include the provided Certification Page with original signatures.
- Include the provided Assurance of Compliance Page with original signature.

See Page 7 for a checklist of all items required in a Community Arts Grant application.

Following Documents

- Checklist of Required Items for Grant Proposal Submission Package: page 7
- Application for Organizations/Artist Partnerships: pages 8 12
- Application for Arts Education: pages 13 16

Checklist of Required Items for Grant Proposal Submission Package

All submission packages must include:

- One original and six (6) copies of the application, ordered and collated as follows:
 - Proposal (Narrative and Proposed Project Budget: up to 4 pages in length)
 - Signed Certification Page
 - Signed Assurance of Compliance Page
 - The applicant organization's full financial statement for the most recently completed fiscal year. (Exception: K-12 schools; PTA/student /booster groups must submit statements)
 - The applicant organization's total budget statement for the current fiscal year (Exception: K-12 schools; PTA/student/booster groups must submit budgets)
 - Resumes of participating artists
 - List of current Board of Directors and Officers' names and mailing addresses
 - A signed Letter of Participation but only if application is a Partnership (see Who We Fund --> Partnerships on page 2 for more details)
- Samples of work by the arts organization or participating artist(s): cd, dvd, audio or videotape, manuscripts or other print material (1 copy).
- First time applications must also include proof of not-for-profit, tax-exempt status (1 copy) (Exception: K-12 schools, parent-teacher organizations, school clubs or booster groups).

Do NOT place materials in a binder of any kind.

Incomplete applications may not be referred to the peer panel. These include:

- Applications that lack the correct number of copies.
- Applications that do not include work samples.
- Applications that do not include participating artists' resumes.
- First time organizational applications that do not include proof of not-for-profit tax-exempt status. (Note Exceptions above)
- Applications that are handwritten.

(Applicant's name must appear at the top of each page)

Narrative: Numbered items must be completed in order - 2 PAGES MAXIMUM

- Applicant Carlsbad Organization
 (Organization name/contact person/title, address, phone, fax, email
 Organizations must also provide Federal TAX ID to receive awarded funding.)
- 2. Artistic Discipline of Primary Emphasis
 (Indicate one dance, music, literary arts, theatre, visual arts, media arts, traditional & folk arts, multidisciplinary arts)
- 3. Grant Amount Requested
- 4. Partner Organization/Partner Artist/Fiscal Sponsor if applicable (Organization Name, contact person, address, phone, fax, email, Federal Tax ID; Artist Name, address, phone, fax, email, Social Security Number)
- 5. Population Served by Grant (Describe and number participants; describe potential audience size for the project)
- 6. Summary of Project Description (30 words or less)
- 7. Narrative (Describe the project, its participants and goals. Be as specific as possible.)
- 8. Describe how this project addresses the needs of Carlsbad residents or the target audience/participants.
- 9, History and purpose of the organization and its relationship to the proposed project. (If proposal includes an Artist Partner, also include: your training/art background and why you want to conduct this project)
- 10. Summary of Work Plan in outline form (Please include timelines, participants, activities, resources and evaluation process.)

(Applicant's name must appear at the top of each page)

Proposed Project Budget: Items must be completed in order – 2 PAGE MAXIMUM

EXPENSES: Budget breakdown of estimated project costs (rounded to nearest ten dollars)

- A. Salaries and wages of paid organization staff (List each separately. Total of all salaries/wages)
 Include titles and/or description of personnel, number of personnel, weekly salary
 amount, % of annual work time devoted to this project, amount of salary for this project
 Example: Artistic Director 1 \$800/wk 3 weeks \$2,400
 Media Director 1 \$700/wk 3 days 420
- B. Fees for contracted services and other expenses (List each item separately. Total all fees)

 Example: 6 musicians X \$20/hr x 8 hr = \$960.

 2 dance workshops, (each 2.5 hours & 2 dancers) \$350x2 = \$700
- C. Production Expenses (Purchased supplies/materials, equipment rental, etc. Total all expenses)

 Example: Sound system rental \$250.
- D. Program Support (Promotions, utilities, facilities, etc. List each item separately. Total all support) Example: Postage for flier mailing - \$75.

TOTAL PROJECT EXPENSES (A+B+C+D):

INCOME: Budget breakdown of all contributions, grants and revenues for this project

- A. Direct Support from Organizations
 - 1) Budget allocations from applicant/partner (cash funds, list all sources; total all cash support)
 - 2) In-kind services, facilities, materials (List each major item. Total all in-kind support) Example: Donated rehearsal space - \$100

Total Direct Support, Cash and In-Kind:

- B. Revenues (Ticket sales, program ads, concessions, etc. List each separately. Total all revenues)
- C. Grants and Contributions (List each separately; asterisk those that are already committed. Total all grants/contributions. DO NOT INCLUDE COMMUNITY ARTS GRANT FUNDS)

TOTAL PROJECT INCOME (A+B+C):

COMMUNITY ARTS GRANT: Amount Requested

INCOME TOTAL + GRANT REQUEST: (must EQUAL or EXCEED Total Project Expenses)

Organization's Total Annual Fiscal Activity
Most recent completed fiscal year
Expenses
Income

Estimate for current fiscal year Expenses Income

Organization's name:	
Certification page	
We certify that the information contained in this application, incl materials, is true and correct to the best of our knowledge. If pa the grantee, it is understood that the grantee is financially, admi responsible for all aspects of the grant and that all reports must	yment is to be made to anyone other than inistratively, and programmatically
Authorizing Official(s) – (Applicant Organization President/Chair	rman)
Signature	Date Signed
Name	
Title	
Telephone (area code)	
Partner Artist/Project Director	
Signature —	– Date Signed ————
Name	
Title	
Telephone (area code)	
Partner Arts Organization (President/Executive Director)	
Signature	Date Signed
Name	
Title	
Telephone (area code)	

Organization's name:
Assurance of Compliance page
(hereafter, called the "Applicant" hereby agrees that it will comply with Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973 and Title IX of the Education Amendment of 1972. Title VI of the Civil Rights Act of 1964 provides that no person in the United States shall, on the grounds of race, color, or national origin, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any program or activity receiving federal financial assistance. Section 504 of the Rehabilitation Act of 1973 provides for nondiscrimination in federally assisted programs on the basis of handicap. Subject to certain exception, Title IX of the Education Amendments of 1972 prohibits exclusion of persons on the basis of sex from any education program or activity receiving federally financial assistance. Title VI, Section 504, and Title IX prohibit discrimination on the basis of race, color, national origin, handicap or sex in any program or activity receiving City of Carlsbad Cultural Arts Office support. The Applicant hereby gives assurance that it immediately will take any measure necessary to comply. This Assurance shall obligate the Applicant for the period during which the financial assistance is extended. This Assurance is given in connection with any and all financial assistance from the Cultural Arts Office/City of Carlsbad after the date this form is signed. This includes payments after such date for
financial assistance approved before such date. The applicant recognizes and agrees that any such assistance will be extended in reliance on the representations and agreements made in this Assurance and that the City of Carlsbad shall have the right to seek judicial enforcement of this Assurance. This Assurance is binding on the Applicant, its successors, transferees, and assignees, and on the authorized official whose signature appears below.
Applicant (Organization name)
By (Signature of Board President/Chair or Executive Director)
Date

(Applicant's name must appear at the top of each page)

Narrative: Numbered items must be completed in order - 2 PAGES MAXIMUM

1. Applicant

(School, PTA, or organization name; principal's or president's name; name of contact person with title, address, phone, fax, and e-mail address; and Federal ID number.)

2. Artistic Discipline of Primary Emphasis

(Indicate one – dance, music, literary arts, theatre, visual arts, media arts, traditional & folk arts, multidisciplinary arts.)

- 3. Grant Amount Requested
- 4. Partner Organization/Partner Artist/Fiscal Sponsor if applicable (Organization Name, contact person, address, phone, fax, email, Federal Tax ID; Artist Name, address, phone, fax, email, Social Security Number)
- 5. Population Served by Grant

(Describe number and ages of students participating; describe potential audience size for the project.)

6. Summary of Project Description

(30 words or less)

7. Narrative

(Describe the project, its participants and goals. Be as specific as possible.)

- 8. Describe how this project addresses the needs of Carlsbad students at this school.
- 9. For Arts Organizations:

(Describe the history and purpose of your organization and its relationship to the proposed project.)

For individual artists:

(Describe your training and art background and why you want to conduct this project.)

10. Summary of Work Plan in outline form

(Please include timelines, participants, activities, resources and evaluation process.)

(Applicant's name must appear at the top of each page)

Proposed Project Budget: Items must be completed in order – 2 PAGE MAXIMUM

EXPENSES: Budget breakdown of estimated project costs (rounded to nearest ten dollars)

- A. Salaries and wages of paid organization staff (*List each separately. Total of all salaries/wages*)

 Include titles and/or description of personnel, number of personnel, weekly salary
 amount,% of annual work time devoted to this project, amount of salary for this project
 Example: Principal 1 \$1538/wk 1.5 days (12 hours) \$460
 Secretary 1 \$700/wk 3 days 420
- B. Fees for contracted services and other expenses (List each item separately. Total all fees)

 Example: 6 musicians X \$20/hr x 8 hr = \$960.

 2 dance workshops (each 2.5 hours & 2 dancers) \$350x2 = \$700
- C. Production Expenses (Purchased supplies/materials, equipment rental, etc. Total all expenses)

 Example: Sound system rental \$250.
- D. Program Support (Promotions, utilities, facilities, etc. List each item separately. Total all support) Example: Postage for flier mailing - \$75.

TOTAL PROJECT EXPENSES (A+B+C+D):

INCOME: Budget breakdown of all contributions, grants and revenues for this project

- A. Direct Support from School/Organizations
 - 1) Budget allocations from applicant/partner (cash funds, list all sources; total all cash support)
 - 2) In-kind services, facilities, materials (List each major item. Total all in-kind support) Example: Donated rehearsal space - \$100.

Total Direct Support, Cash and In-Kind:

- B. Revenues (Ticket sales, program ads, concessions, etc. List each separately. Total all revenues)
- C. Grants and Contributions (List each separately; asterisk those that are already committed. Total all grants/contributions. DO NOT INCLUDE COMMUNITY ARTS GRANT FUNDS)

TOTAL PROJECT INCOME (A+B+C):

COMMUNITY ARTS GRANT: Amount Requested

INCOME TOTAL + GRANT REQUEST: (must EQUAL or EXCEED Total Project Expenses)

Organization's Total Annual Fiscal Activity
Most recent completed fiscal year
Expenses
Income

Estimate for current fiscal year Expenses Income

Organization's name:	
Certification page	
We certify that the information contained in this apmaterials, is true and correct to the best of our known the grantee, it is understood that the grantee is fir responsible for all aspects of the grant and that all	owledge. If payment is to be made to anyone other than nancially, administratively, and programmatically
Authorizing Official(s) – (Applicant Organization P	President/Chairman)
Signature	Date Signed
Name	
Title	
Telephone (area code)	
Partner Artist/ Project Director	
Signature —	Date Signed
Name	
Title	
Telephone (area code)	
Partner Arts Organization (President, Exec. Direct	tor)
Signature	Date Signed
Name	
Title	
Telephone (area code)	

Organization's name:
Assurance of Compliance page
Title VI, Section 504, and Title IX prohibit discrimination on the basis of race, color, national origin, handicap or sex in any program or activity receiving City of Carlsbad Cultural Arts Office support. The Applicant hereby gives assurance that it immediately will take any measure necessary to comply.
This Assurance shall obligate the Applicant for the period during which the financial assistance is extended. This Assurance is given in connection with any and all financial assistance from the Cultural Arts Office/City of Carlsbad after the date this form is signed. This includes payments after such date for financial assistance approved before such date. The applicant recognizes and agrees that any such assistance will be extended in reliance on the representations and agreements made in this Assurance and that the City of Carlsbad shall have the right to seek judicial enforcement of this Assurance. This Assurance is binding on the Applicant, its successors, transferees, and assignees, and on the authorized official whose signature appears below.
Applicant (Organization name)
By (Signature of Board President/Chair or Executive Director)
Date